



GLOBAL G.A.P.

Food Safety Modernization Act

Produce Safety Rule Add-on

General Rules

ENGLISH VERSION 1.2

VALID FROM: 15 NOVEMBER 2019
OBLIGATORY FROM: 15 FEBRUARY 2020

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1 GENERAL BACKGROUND INFORMATION

Name and version of the add-on:	Food Safety Modernization Act Produce Safety Rule add-on version 1.2
Scope:	Any product in the Fruit and Vegetables scope of the GLOBALG.A.P. Integrated Farm Assurance standard or Produce Safety Assurance standard
Scheme ID:	267
Application in country(ies):	All countries of the world with United States as country of destination
The applicable add-on control points and compliance criteria (CPCC) name and version:	Food Safety Modernization Act Produce Safety Rule add-on CPCC version 1.2
Applicable documents:	<ol style="list-style-type: none"> 1. GLOBALG.A.P. FSMA PSR GR (General Rules – <i>this document</i>) 2. GLOBALG.A.P. FSMA PSR CPCC (control points and compliance criteria) 3. GLOBALG.A.P. FSMA PSR CL (checklist)
Relevant links:	https://www.fda.gov/Food/GuidanceRegulation/FSMA/ucm334114.htm https://www.federalregister.gov/documents/2015/11/27/2015-28159/standards-for-the-growing-harvesting-packing-and-holding-of-produce-for-human-consumption

About the GLOBALG.A.P. FSMA PSR Add-on

GLOBALG.A.P. add-on rules build up a framework where a producer can comply with a set of requirements that is additional to the compliance of a GLOBALG.A.P. standard. Based on the fact that these control points and compliance criteria are voluntary, verification against them is not covered under the GLOBALG.A.P. accreditation.

The Food Safety Modernization Act (FSMA) Produce Safety Rule (PSR) add-on is a voluntary add-on which may be used by any producer within the United States, or any producer currently exporting to or with future plans to export to the United States, with an existing GLOBALG.A.P. certificate of Integrated Farm Assurance (IFA) or Produce Safety Assurance.

Differences between IFA (or Produce Safety Assurance as subset of IFA) and the FSMA PSR are adapted in the add-on control points and compliance criteria, so that the user can make the necessary adjustments to implement the requirements of the FSMA PSR. However, every operation should review the FSMA PSR for compliance details that may not be covered in this add-on.

1.1 FSMA PSR Add-on Claims

The GLOBALG.A.P. FSMA PSR add-on is inspected against by GLOBALG.A.P. approved third-party certification bodies to serve as evidence of FSMA implementation efforts at the farm level, in keeping with the current version of the US Food and Drug Administration's FSMA PSR (21 C.F.R. Part 112). When accompanied by the GLOBALG.A.P. Integrated Farm Assurance or Produce Safety Assurance standard, the FSMA PSR add-on will aid producers in identifying FSMA requirements that must be addressed at the farm level. The add-on and IFA or Produce Safety Assurance certificates can be provided to retailers and value-chain participants as evidence of a producer's efforts toward FSMA PSR implementation. **Compliance with the add-on is not an assurance or guarantee of FSMA compliance, as legal compliance can only be determined by a regulatory authority, such as the United States Food and Drug Administration (FDA).**

2 GENERAL RULES SPECIFICATIONS

This document refers to the clauses in the 'GLOBALG.A.P. Add-on General Rules' and defines the specific requirements for the GLOBALG.A.P. FSMA PSR add-on v1.2.

Clause (Numbering based on 'GLOBALG.A.P. Add-on General Rules')	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. FSMA PSR Add-on
3. APPLICATION OPTIONS		
Pre-conditions:		Producer must have a valid GLOBALG.A.P. IFA Fruit and Vegetables (FV) or Produce Safety Assurance certificate or be registered for GLOBALG.A.P. IFA FV or Produce Safety Assurance.
3.1 Option 1 - Individual Producer		Applicable as in 'GLOBALG.A.P. Add-on General Rules'
3.1.1	Option 1 – Multisite without Implementation of a QMS	Applicable as in 'GLOBALG.A.P. Add-on General Rules'
3.1.2	Option 1 – Multisite with Implementation of a QMS	Applicable as in 'GLOBALG.A.P. Add-on General Rules'
3.2 Option 2 – Producer Group		Applicable as in 'GLOBALG.A.P. Add-on General Rules'
4. REGISTRATION PROCESS		
4.1 Certification Bodies/Farm Assurer		
a)	The applicant shall register with a GLOBALG.A.P. approved certification body.	Producers must register with a certification body approved to conduct this add-on, which must be the same certification body that conducts the IFA FV or Produce Safety Assurance inspection.
c)	Information on approved CBs is available for every add-on and published on the GLOBALG.A.P. website if requested by the program owner.	Applicable as for the IFA or Produce Safety Assurance standard.
4.2 Registration		
d)	The duration of the service contract is set between the CB and the producer.	Same contract that is concluded for the IFA FV or Produce Safety Assurance inspections.
4.2 Registration		
4.3.2 Parallel Production and Parallel Ownership	Any applicant/certificate holder (individual producer, multisite or producer group) who owns GLOBALG.A.P. and non-GLOBALG.A.P. products (of the same product) at any time needs to register for parallel production (PP) or parallel ownership (PO).	Not applicable. Registration for parallel production or parallel ownership is not possible with the FSMA PSR add-on. The add-on can exclude IFA FV or Produce Safety Assurance certified commodities. As example, a producer has IFA FV certified tomatoes and lettuce. If the add-on certificate includes tomatoes, it must include all tomatoes that are certified under the IFA FV certificate. The producer cannot have some IFA FV certified tomatoes included in the add-on but other certified tomatoes that are not. However, the producer can have FSMA PSR add-on tomatoes and not IFA FV certified lettuce. This is not the same as PP/PO, as PP/PO exists within the same commodity.

Clause (Numbering based on 'GLOBALG.A.P. Add-on General Rules')	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. FSMA PSR Add-on
5. ASSESSMENT PROCESS		
5.1 Self-Assessment		
a)	Self-assessments are required in case the specific add-on includes this requirement in the control points and compliance criteria.	Self-assessments against the FSMA add-on shall be carried out together with the IFA FV or Produce Safety Assurance self-assessment.
5.2 Second or Third-Party Inspections		
a)	A second (an appointed organization) or third-party (an independent certification body) shall conduct the add-on inspections and this shall be defined in the add-on general rules specifications.	Only third-party inspections are allowed.
b)	The inspections shall only be done by the following parties as approved:	
i)	CB inspectors or auditors that are already approved to conduct inspections for accredited standards such as GLOBALG.A.P.	<p>CBs shall be finally approved by GLOBALG.A.P. for the IFA FV standard.</p> <p>Inspectors/auditors shall comply with GLOBALG.A.P. inspector qualification requirements including those on communication skills, independence, and confidentiality, and demonstrate sufficient competence of the FSMA PSR as specified in 'Inspector Qualifications' below.</p> <p>Inspectors/auditors must complete the GLOBALG.A.P. FSMA PSR add-on online technical training (2-hour webinar) with successful completion of all online tests, and respective updates within 3 months after release in the inspector's language.</p> <p>The CBs are responsible for the supervision and maintenance of the inspector and auditor competence according to the requirements of this program.</p>
ii)	Inspectors or auditors from GLOBALG.A.P. approved CBs conducting inspections against non-accredited standards, or	Not applicable
iii)	Licensed Farm Assurers that have approval for assessing the specific add-on.	Not applicable
5.2.1 Option 1 – Individual Producer (without QMS)		
a)	Producer receives an annual inspection.	As applicable in reference standard: GLOBALG.A.P. IFA FV or Produce Safety Assurance
b)	The duration and timing of inspections will be clarified.	The CB assessment must be conducted in combination with the GLOBALG.A.P. IFA FV or Produce Safety Assurance audit/inspection. The duration depends, amongst other factors, on the complexity of activities, the size of the area under certification. Minimum inspection planning guidelines for certification bodies: 1 hour.

Clause (Numbering based on 'GLOBALG.A.P. Add-on General Rules')	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. FSMA PSR Add-on
5.2.2 Option 1 – Individual Producer with a QMS and Option 2 – Group		Refer to the 'GLOBALG.A.P. General Regulations' v5.2, Part I, 5.2, and Part II as the same rules will apply.
a)	The QMS and the producers must be inspected/audited.	Applicable as reference standard: GLOBALG.A.P. IFA FV or Produce Safety Assurance. The internal register and CB register, report, and certificate annex must reflect actual producers assessed for the GLOBALG.A.P. FSMA PSR add-on.
b)	The CB does not inspect all producers/sites, but just a sample. It is not the responsibility of the CB to determine the compliance of each producer/site, as this responsibility rests with the applicant. The CB must assess whether the applicant's internal controls are appropriate.	Applicable as reference standard: GLOBALG.A.P. IFA FV or Produce Safety Assurance. The internal inspection must include an assessment of the FSMA PSR add-on for each FSMA PSR add-on registered producer, and the CB auditor must review the FSMA PSR add-on assessment reports during the QMS audit.
c)	The duration of inspections will be clarified.	The same rules apply as those for Option 1 multisite with QMS or Option 2 under the IFA FV or Produce Safety Assurance.
d)	The sampling method, frequency, timing will be clarified.	The same rules apply as those for Option 1 multisite with QMS or Option 2 under the IFA FV or Produce Safety Assurance. The CB selected sample of external producer inspections shall include FSMA PSR add-on a proportional percentage of registered producers. E.g., a producer group with 100 registered producers, where 25 registered producers are also registered for the FSMA PSR add-on, then at least 5 producers of 10 shall be selected for external inspections each year.
5.3 Unannounced Surveillance Inspections		
a)	It is possible that a specific add-on program requires that producers receive unannounced surveillance inspections.	If the producer is selected for an unannounced surveillance inspection for the IFA FV or Produce Safety Assurance, the FSMA PSR add-on will also be inspected, if applicable.
b)	If it is a requirement, 10% of the add-on producers or groups of a CB/Farm Assurer shall be assessed annually, unless stated otherwise in the add-on general rules specifications.	The same rules apply as those for Option 1 multisite with QMS or Option 2 under the IFA FV or Produce Safety Assurance.
c)	The inspection shall be announced no longer than 48 hours in advance.	The same rules apply as those for Option 1 multisite with QMS or Option 2 under the IFA FV or Produce Safety Assurance.

Clause (Numbering based on 'GLOBALG.A.P. Add-on General Rules')	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. FSMA PSR Add-on
6. APPROVAL PROCESS		
6.1 Requirements to Achieve and Maintain Add-on Conformance		
a)	The CPCC of the add-on program may consist of different levels; e.g., knock-out points, Major Musts, Minor Musts, or Recommendations or may have a scoring system.	CPCC are scored as Major Must. In the case of agricultural water for pre-harvest, CPCC are scored as Recommendations until the FDA compliance date when they will be upgraded to Major Musts. Control points marked "not applicable" shall have a justification. Certificate is awarded after all applicable Major Must control points are demonstrated as being in conformance and/or corrective actions are accepted by the certification body. Non-conformance to the FSMA PSR add-on shall <i>not</i> impact compliance to the GLOBALG.A.P. IFA FV or Produce Safety Assurance standard.
b)	For each add-on program, the conformance rules based on the constitution of the CPCC and will be stipulated:	All Major Musts must be 100% complied with. As long as the agricultural water for pre-harvest CPCC are scored as Recommendations, they will not be included in the final scoring.
c)	The compliance percentage shall be calculated, taking into account all the control points applicable to each site and product.	Applicable as in the 'GLOBALG.A.P. Add-on General Rules'.
d)	In all cases, after an inspection, the calculation to show compliance (or non-compliance) must be available.	Applicable as in the 'GLOBALG.A.P. Add-on General Rules'. The summary of non-conformity and/or a corrective action report shall be available at the closure of the audit. The certificate of conformance shall be awarded based on the conformance rules. See Table II.1 for detailed summary of non-conformity and corrective action report requirements.
6.2 Sanctions		
c)	Outstanding non-conformances identified during the first inspection shall be closed within the timeframe agreed with the program owner.	Applicable as in the 'GLOBALG.A.P. Add-on General Rules'. Corrective action report information contained in Table II.2
6.5 Letter of Conformance and Assessment Cycle		
		Certificate of conformance is issued, not a letter of conformance. See Table I 'Certificate of Conformance Requirements' and template in Annex 3.
		FSMA PSR add-on certificate of conformance expiry date shall not exceed the expiry date of the IFA FV or Produce Safety Assurance certificate regardless of when the assessment was undertaken.

Clause (Numbering based on 'GLOBALG.A.P. Add-on General Rules')	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. FSMA PSR Add-on
6.6 Certification Integrity Program (CIPRO)		
a)	The possibility of adding CIPRO to the add-on program shall be clarified.	Applicable. If CB/producer has been chosen, then GLOBALG.A.P. FSMA PSR criteria shall also be verified. No additional CIPRO requirements for GLOBALG.A.P. FSMA PSR add-on.
7. CERTIFICATION BODY REGISTRATION RULES FOR THE ADD-ON PROGRAM		
7.1 GLOBALG.A.P. Approved CBs		
iii)	<p>a) Register in the GLOBALG.A.P. CB extranet (http://cb.globalgap.org) for the new add-on.</p> <p>b) Submit a letter of intent in English to the GLOBALG.A.P. Secretariat.</p> <p>c) Pay an annual registration fee according to the GLOBALG.A.P. fee table that will allow the CB to assess against all scopes of the add-on program.</p> <p>d) Follow the database training for producer registration and checklist uploading when applicable. Register all inspectors for the add-on(s) in the GLOBALG.A.P. database.</p>	<p>Applicable.</p> <p>Certification body license fee according to GLOBALG.A.P. and GLOBALG.A.P. North America fee tables.</p> <p>Provisional approval is possible for the certification body after license fee is submitted.</p> <p>The CB will achieve final approval when the applicant CB presents at least:</p> <ul style="list-style-type: none"> • The certificate of 1 inspector/auditor who has completed the Produce Safety Alliance training for trainers (16 hours) <p>OR</p> <ul style="list-style-type: none"> • The certificates of 2 inspectors/auditors, who have completed the standard face-to-face Produce Safety Alliance training (8 hours) <p>It is expected that if the in-house trainer has completed the Produce Safety Alliance training for trainers (16 hours), she/he can train her/his inspectors/auditors. Otherwise GLOBALG.A.P. must verify that the CB has the minimum qualified personnel to issue a FSMA PSR add-on certificate of conformance (1 inspector/auditor and 1 reviewer).</p> <p>All inspectors and auditors may not be approved to take the online exam and conduct inspections until after completion of the GLOBALG.A.P. FSMA PSR add-on online technical training (2-hour webinar).</p>
7.2 Licensed Farm Assurers		Not applicable

Clause (Numbering based on 'GLOBALG.A.P. Add-on General Rules')	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. FSMA PSR Add-on
Further Specifications		
Data Access Rules (DAR)	In case of a different data release level as defined in the Annex III.	<p>The level of data visibility must be fixed and signed during registration with the CB. The producer or producer group is the data owner and is responsible for determining and granting the level of rights for data access. Public information will be the same as for the IFA certification.</p> <p>See Annex 1 'GLOBALG.A.P. FSMA PSR Data Access Rules'.</p>
CB Inspector and Auditor Qualifications	In case of other requirements as indicated in IFA GR Part III Annex III.1 and Farm Assurer application document.	<p>Inspectors and auditors shall comply with GLOBALG.A.P. inspector qualification requirements. In addition, inspectors and auditors of this add-on must take part in the GLOBALG.A.P. FSMA PSR add-on online technical training (2-hour webinar) and take the online exam. Inspectors and auditors must demonstrate sufficient competence of the FSMA PSR with evidence of attendance at a Produce Safety Alliance training class with an approved instructor. The minimum expectation for inspectors/auditors is the "Grower Training Course" – minimum of 8-hour duration, as offered by any approved trainer. This can be a private training by an approved trainer.</p> <p>The list of "Grower Training" courses that are registered with PSA can be found here: https://producesafetyalliance.cornell.edu/training/grower-training-courses/upcoming-grower-trainings A list of approved trainers – whom can conduct a private class is here: https://fm71.triple8.net/fmi/webd/#Trainer-Directory Any one of these trainers could offer the course to CBs and CB affiliates.</p> <p>The person(s) who makes the certification decision (certifier/reviewer) or at least one member of the certification committee of the CB shall comply with auditor qualifications as set out above. In case the certification decision is related to Option 1 and does not include a QMS, the CB still must have one person of the certification decision committee complying with auditor qualification and with the above FSMA PSR add-on specific requirements.</p> <p>No Farm Assurers are accepted as inspectors.</p>

Clause (Numbering based on 'GLOBALG.A.P. Add-on General Rules')	Add-on General Rule	Specific Requirements for the GLOBALG.A.P. FSMA PSR Add-on
QMS Internal Inspector and Auditor Qualifications	In case of other requirements as indicated in IFA GR Part II Annex II.1 and Farm Assurer Application Document.	<p>Internal inspectors and auditors shall comply with GLOBALG.A.P. internal inspector and auditor qualification requirements. In addition, internal inspectors and auditors must demonstrate sufficient competence of the FSMA PSR with evidence of attendance at a Produce Safety Alliance training course. The minimum expectation for inspectors/auditors is the "Grower Training Course" – minimum of 8-hour duration. This may be an internal, public, or private training. The course is not required to be official Produce Safety Alliance course or led by a "Produce Safety Alliance approved" instructor, however, course materials must be at least equivalent to the content offered in the Produce Safety Alliance approved course curriculum, as required in FSMA PSR Subpart C – 112.22c.</p> <p>Farm Assurers are accepted as internal inspectors and auditors.</p>
Producer Fees	Any additional fees that may apply for the different add-ons.	No additional producer fees to be assessed by GGNA in USA and Canada. Global fees are defined in the 2018 GLOBALG.A.P. fee table (see Annex 2).
Any additional rule/requirement not mentioned in this document:	GLOBALG.A.P. database	<p>The following documents shall be uploaded in the GLOBALG.A.P. database in PDF format and sent to the certificate holder as protected PDF documents</p> <ul style="list-style-type: none"> • FSMA PSR add-on assessment report • <i>Full</i> IFA FV or Produce Safety Assurance inspection report • Corrective action report • Certificate of conformance <p>Please see tables below:</p> <ul style="list-style-type: none"> • Certificate of conformance requirements • Summary of non-conformity requirements • Corrective action report requirements
	GLOBALG.A.P. Audit Online	<p>All FSMA PSR add-on control points and all IFA FV or Produce Safety Assurance control points are available in GLOBALG.A.P. Audit Online, a tool for capturing all audit information. Inspectors and auditors shall fill out individual control points in Audit Online using the system directly or automatically transfer audit data using a specification API. CBs shall use the GLOBALG.A.P. Audit Online when finalized and made obligatory.</p>

TABLE I: Certificate of Conformance Requirements		
I.1 Certificate of Conformance Requirements		
a)	Certificate of conformance requirements	The certificate of conformance shall include all the content specified in the GLOBALG.A.P. FSMA PSR certificate of conformance template (see Annex 3). This certificate of conformance is only valid as long as the IFA FV certificate is valid.
b)	Uploading the certificate of conformance into the GLOBALG.A.P. database	The certificate of conformance shall be uploaded into the GLOBALG.A.P. database.

TABLE II: Summary of Non-Conformity and Corrective Action Report Requirements		
II.1 Summary of Non-Conformity		
a)	Summary of non-conformity requirements	The summary of non-conformity shall comply with all content specified in the GLOBALG.A.P. FSMA PSR add-on checklist under the summary of non-conformity section. Upon completion of the FSMA PSR add-on assessment, the written summary will be produced by the auditor/inspector which must include: <ul style="list-style-type: none"> • Producer/Entity assessed • GGN • Certification scope • Products included in the FSMA PSR add-on • Date of the assessment • Duration of assessment • Lists any non-conformances identified for both IFA FV or Produce Safety Assurance and FSMA PSR add-on • Provide objective evidence of non-conformities identified • The first and last name of the auditor/inspector • Producer/Company signature • Auditor/Inspector signature
b)	Uploading the summary of non-conformity into the GLOBALG.A.P. database	The completed summary of non-conformity shall be uploaded into the GLOBALG.A.P. database with the <i>full</i> IFA FV or Produce Safety Assurance inspection report, FSMA PSR add-on assessment report, and FSMA PSR add-on certificate of conformance at the closure of the audit.

II.2 Corrective Action Report		
a)	Corrective action report requirements	<p>The corrective action report shall comply with all content specified in the GLOBALG.A.P. FSMA PSR add-on checklist under the corrective action report section.</p> <p>On completion of the FSMA PSR add-on assessment, the written corrective action report will be produced by the auditor or inspector which include:</p> <ul style="list-style-type: none"> • Producer/Entity assessed • GGN • Certification scope • Products included in the FSMA PSR add-on • Date of the assessment • Duration of assessment • Lists any non-conformances identified • Provides objective evidence of non-conformities identified • The objective evidences of implementation of the correction or corrective actions • The first and last name of the auditor/inspector • Producer/Company signature • Auditor/Inspector signature.
b)	Uploading the corrective action report into the GLOBALG.A.P. database	<p>The completed corrective action report shall be uploaded into the GLOBALG.A.P. database with the <i>full</i> IFA FV or Produce Safety Assurance inspection report, FSMA PSR add-on assessment report, and FSMA PSR add-on certificate of conformance. Where corrective actions are not required or will not be submitted by the producer, a corrective action report is not required to be uploaded into the GLOBALG.A.P. database, section II.1b of this document applies.</p>

ANNEX 1 – GLOBALG.A.P. FSMA PSR DATA ACCESS RULES

1 PRODUCER DATA

Producer data covers all data described in the ‘GLOBALG.A.P. General Regulations’.

1.1 Initial Producer Data Setting

By participating in GLOBALG.A.P. FSMA PSR, the producer grants access of the producer/company data listed below to the respective data access groups. This setting represents the minimum data access setting. The producer or designated farm assurer can extend the access via the GLOBALG.A.P. database application at any time.

x = marks that this data is visible to users assigned to the respective data access group.

	GLOBALG.A.P. staff	Administrator (certification body)	Public
Company			
Company name	x	x	x⁽⁶⁾
Company address ¹⁾	x	x	
Company city	x	x	x⁽⁶⁾
Company country	x	x	x
Company contact information ³⁾	x	x	
Company website	x	x	
Current GLN/GGN	x	x	x
Previous GGN	x	x	x
Legal registration per country	x	x	
Location ⁴⁾	x	x	
Contact person (responsible for legal entity)			
Contact name ⁵⁾	x	x	
Contact address ¹⁾	x	x	
Contact city	x	x	
Contact country	x	x	
Contact information ³⁾	x	x	
PHU/SITE Information			
Company name and produce handling facility	x	x	
PHU/SITE address ²⁾	x	x	
PHU/SITE city	x	x	
PHU/SITE country	x	x	
PHU/SITE contact Information ³⁾	x	x	
Sub-GLN(s)	x	x	x
Legal registration per country	x	x	
Location ⁴⁾	x	x	
Facility information	x	x	

	GLOBALG.A.P. staff	Administrator (certification body)	Public
Contact person (responsible for PHU/SITE)			
Contact name ⁵⁾	x	x	
Contact address ¹⁾	x	x	
Contact information ³⁾	x	x	

1) Company and contact address includes: street name and house number, postal address, postal code, federal state.

2) PHU/SITE address includes: street name and number, postal address, postal code.

3) Contact information includes: phone no., fax no., e-mail.

4) Location includes: Northern/Southern + Western/Eastern latitude.

5) Contact name includes: title, first name, and last name.

6) For every legal entity with a product in an active status, data access of the company name, country, and city is granted to the public. This applies to Option 1 as well as for Option 2 producer groups. Producer group members (Option 2) may optionally release the data to the different data access groups.

2 PRODUCT DATA

Product data covers all data described in the 'GLOBALG.A.P. General Regulations'. Product data not explicitly listed in this chapter is not adjustable regarding data access and is transparent as long as the respective product is in a displayable status.

2.1 Initial Product Data Setting

By participating in the GLOBALG.A.P. FSMA PSR add-on, the producer/company grants access of the product data listed below to the respective data access groups. This setting represents the minimum data access setting. The producer or designated farm assurer can extend the access via the GLOBALG.A.P. database application at any time. For more information on this topic, please see the latest version of the online database manual.

x = marks that this data is visible to users assigned to the data access group listed on top.

	GLOBALG.A.P. staff	Administrator (certification body)	Public
<product> (*)			
Checklist result ⁷⁾	x	x	
Quantity data	x	x	

(*) This configuration can be set for each product individually or set for modules (scopes/sub-scopes) to cover all products included in that module.

⁷⁾ This includes all documents uploaded that are mentioned in 'Further Specifications'.

ANNEX 2 – GLOBALG.A.P. FSMA PSR PRODUCER REGISTRATION FEES

Valid from: 1 April 2018

Option: 1 – individual producer and 2 – producer group

Scope: Crops Base

Sub-scope: Fruit and Vegetables

1 PRODUCER REGISTRATION FEE FOR PRODUCERS IN USA AND CANADA

There is no separate and additional registration fee applied to producers in USA and Canada, this fee is inclusive for IFA certified producers in the USA and Canada.

2 PRODUCER REGISTRATION FEE FOR PRODUCERS OUTSIDE OF USA AND CANADA

COVERED PRODUCTION*		NON-COVERED PRODUCTION*	
Area under Covered Production [hectares]	Annual Fee	Area under Non-Covered Production [hectares]	Annual Fee
< 0.1	€19.00	< 0.1	€9.00
≥ 0.1 - < 0.5	€54.00	≥ 0.1 - < 0.5	€22.00
≥ 0.5 - < 1	€104.00	≥ 0.5 - < 2	€40.00
≥ 1 - < 1.5	€134.00	≥ 2 - < 5	€64.00
≥ 1.5 - < 5	€218.00	≥ 5 - < 15	€109.00
≥ 5 - < 10	€322.00	≥ 15 - < 100	€193.00
≥ 10 - < 30	€370.00	≥ 100 - < 1,000	€325.00
≥ 30 - < 100	€591.00	≥ 1,000 - < 5,000	€591.00
≥ 100 - < 500	€1182.00	≥ 5,000 - < 10,000	€1182.00
≥ 500	€2170.00	≥ 10,000	€2170.0

* Covered crop is primarily intended to mean “produced in a greenhouse”. A crop is considered “covered” when it is grown beneath or within a structure. Any other form of production is considered “non-covered crop”. For further details, see the section ‘Definitions’ of the GLOBALG.A.P. fee table.

ANNEX 3 – CERTIFICATE OF CONFORMANCE TEMPLATE FOR FSMA PSR ADD-ON

CB logo¹

GGN Number: GGN_XXXXXXXXXXXXXXXXXXXX²
 Registration number of company (from CB) XXXXXXXX³

CERTIFICATE OF CONFORMANCE

according to

GLOBALG.A.P. Food Safety Modernization Act Produce Safety Rule Add-on

version xx⁴
 issued to

company/producer
 company name, address⁵

Country of production/company location⁶

The certification body [company name] declares that the company has been assessed against the add-on version mentioned above.

Product(s) ⁷	Production Area covered under FSMA PSR ⁸	Product Handling inspected for FSMA PSR ⁹

Date of Issuing (printing date): dd/mmm/yyyy¹⁰

Valid from: dd/mmm/yyyy¹¹

Valid to: dd/mmm/yyyy¹²

Authorized by¹³

Date of certification decision: dd/mmm/yyyy¹⁴

Conformance with the FSMA PSR add-on is not an assurance or guarantee of FSMA compliance. Legal compliance can only be determined by a regulatory authority, such as the United States Food and Drug Administration. This certification is based on observations during the assessment regarding the standards established by the FSMA PSR add-on. No certification can provide an assurance that all food produced by the assessed operation is safe for consumption.¹⁵

This certificate of conformance is only valid as long as the IFA Fruit and Vegetable certificate is valid.¹⁶

The current status of this certificate of conformance is always displayed at: <http://www.globalgap.org/search>¹⁷



Notes

The certificate of conformance shall be in English. The CB may add a second language in the certificate.

- ¹ Certification body (CB) logo shall appear on all certificates of conformance.
- ² GLOBALG.A.P. Number (GGN) shall appear on all certificates of conformance. In case a certificate holder owns a Global Location Number (GLN), this number shall replace the GGN.
- ³ The registration number of a company/producer or producer group, which is assigned by the CB *may* (voluntary) appear on the certificate of conformance. It consists of the CB-Short and a number (with exactly one space character between, CB-Short xxxxxxxxxxxx)
- ⁴ Please enter "GLOBALG.A.P. Food Safety Modernization Act Produce Safety Rule add-on version x.x". Always mention the version used.
- ⁵ Name of the certificate holder and the address shall be printed on the paper certificate of conformance.
- ⁶ Country of the company location.
- ⁷ Products mentioned in the FSMA PSR add-on certificate of conformance shall be listed on the IFA FV certificate.
- ⁸ Production area FSMA PSR "covered" (in ha) shall be included per product.
- ⁹ Product handling assessed for FSMA PSR: Produce handling inclusion in the FSMA PSR add-on is dependent on whether the handling activities performed are within the "farm" definition and meet the product and ownership criteria for either a primary or secondary activities farm. For definitions and examples, see: <https://www.fda.gov/food/guidanceregulation/guidancedocumentsregulatoryinformation/ucm517567.htm>
Where the product handling facility does not meet the definition of a "farm" and the facility is potentially subject to the FSMA Preventive Controls for Human Food Rule, enter "no" to specify that produce handling was *not* inspected as a part of the FSMA PSR add-on assessment, whether or not produce handling was inspected as a part of the IFA inspection. If product handling IS included in FSMA PSR add-on, indicate whether it takes place in-field ("in-field") or in a facility ("facility") or both ("in-field + facility").
- ¹⁰ Date of issue is the printing date of the paper certificate of conformance. It shall be added to the first page of the certificate of conformance and to the Annex to connect each other. dd/mmm/yyyy format is prescribed for international acceptance and understanding. Example: 03/MAR/2018
- ¹¹ The certificate of conformance "Valid from" date defines the beginning of a conformity cycle.
- ¹² The certificate of conformance "Valid to" date is the expiry date of the validity.
- ¹³ The first and the last name of the person who has authorized the certificate of conformance shall be written in block letters. This person must sign the certificate of conformance.
- ¹⁴ "Date of certification decision" shall appear on certificate of conformance and shall be the certification decision date of the IFA FV certificate.
- ¹⁵ This statement shall be added to the certificate of conformance: *"Conformance with the FSMA PSR add-on is not an assurance or guarantee of FSMA compliance. Legal compliance can only be determined by a regulatory authority, such as the United States Food and Drug Administration. This certification is based on observations during the assessment regarding the standards established by the FSMA PSR add-on. No certification can provide an assurance that all food produced by the assessed operation is safe for consumption."*
- ¹⁶ This note (*"This certificate of conformance is only valid as long as the IFA FV certificate is valid"*) shall be added to the paper certificate of conformance to point out that the PSR add-on module assessment relies on a GLOBALG.A.P. IFA FV certificate.
- ¹⁷ *"The current status of this certificate of conformance is always displayed at: <http://www.globalgap.org/search>"* shall be added to the paper certificate of conformance to point out that only a validation in the GLOBALG.A.P. database proves the current status of the certificate of conformance.

VERSION/EDITION UPDATE REGISTER

New Document	Replaced Document	Date of Publication	Description of Modifications
180608_GG_FSMA_PSR_GR_V1_0_en	180417_DRAFT_GG_FSMA_GR_V1_0_IF_en	8 June 2018	<p>1.1 Claims: changed certification to standard 4.2 added requirements of Parallel Production and Ownership 5.2 b i) added: within 3 months after release in the inspector's language. Changed 'certificate of compliance' to 'certificate of conformance':</p> <ul style="list-style-type: none"> • 6.1d • 6.5 • Any additional rule/requirement not mentioned in this document • Table I: Certificate Requirements <p>TABLE II: added:</p> <ul style="list-style-type: none"> • Producer/Entity assessed, • GGN, • certification scope, • Producer/Company signature, and • Auditor/Inspector signature. <p>II.2 CAR added:</p> <ul style="list-style-type: none"> • Producer/Entity assessed, • GGN, • certification scope, • products included in the FSMA PSR Add-o Producer/Company signature, and • Auditor/Inspector signature, <p>Annex 1: Changed 7.2 to "Further Specifications" Deleted Annex 4</p>
190215_GG_FSMA_PSR_GR_V1_1_en	180608_GG_FSMA_PSR_GR_V1_0_en	15 February 2019	<p>1 Table – changed data access information, removed add-on observer 4.3.2 – added explanation of how the add-on can exclude IFA FV certified commodities 5.2.i – improved wording 5.2.1.b – changed desk review assessment date 5.2.2.a, b, d – added Option 2 explanations 6.1.d – Summary of Non-Conformity is optional to upload where corrective actions are not required. Deleted 5-day rule for upload. Further Specifications: DAR – removed reference to FSMA Observers Further Specifications: Inspector Qualifications – added PSA training information and resource Further Specifications – additional rule/requirement document upload to GLOBALG.A.P. database requires <i>full</i> IFA audit report. CB required to send documents to certificate holder. Table II.1 – clarification of Summary of Non-Conformity requirements. Annex 1 – removed Data Access Group FSMA Observer Group Annex 2 – removed discount levels</p>

191111_GG_FSMA_PSR_GR_V1_2_en

New Document	Replaced Document	Date of Publication	Description of Modifications
191111_GG_FSMA_PSR_GR_V1_2_en	190215_GG_FSMA_PSR_GR_V1_1_en	11 November 2019	Added reference to Produce Safety Assurance throughout 5,2,1b – deleted desk review timeline 6.5 – clarification 6.6a – wording change 7.1 iii – clarification of CB onboard process Further Specifications CB Inspector and Auditor Qualifications – including Auditors, clarification for certifiers/reviewers QMS Internal Inspector and Auditor Qualifications – added Any additional rule/requirement not mentioned in this document: – added text, added GLOBALG.A.P. Audit Online section Annex 3 – Certificate of Conformance – deleted (8)

If you want to receive more information on the modifications in this document, contact the GLOBALG.A.P. Secretariat at translation_support@globalgap.org.

When the changes do not introduce new requirements to the standard, the version will remain “1.0” and an edition update shall be indicated with “1.0-x”.

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